

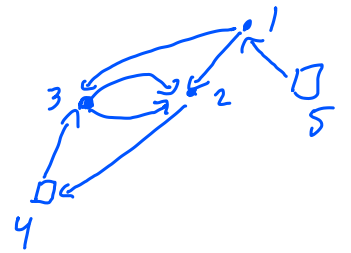
# Outline/Goals:

- 1) A new (slightly broader) look at cluster Algebras
- 2) c-vectors
- 3) F-polynomials

## Broader look at cluster alg.

We saw cluster algebras as:

Seed  $(x_1, \dots, x_n, x_{n+1}, \dots, x_{n+m})$  quiver  $Q$   
(no loops, no 2-cycles)



and a mutation rule for each:

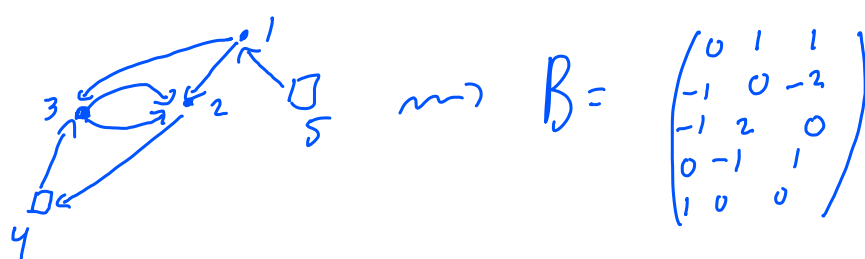
$$x_k x_k' = \prod_{i \rightarrow k} x_i + \prod_{k \rightarrow j} x_j$$

- $Q'$ : (By convention, put frozen at end)
- ① Flip arrows to/from  $k$
  - ② Add paths:  
 $i \rightarrow k, k \rightarrow j$  in  $Q$   
 $\Rightarrow i \rightarrow j$  in  $Q'$
  - ③ "Save yourself":  
kill 2-cycles.

Another way to represent a quiver w/out loops and 2-cycles is as a skew-symmetric matrix  $B$ :  $B_{ij} = \# \text{ arrows } i \rightarrow j$ .

It turns out to be useful to take an  $(n+m) \times n$  matrix w/ rows correspond to all variables in our seed, columns only to mutable ones

E.g



$$B = \begin{pmatrix} 0 & 1 & 1 \\ -1 & 0 & -2 \\ -1 & 2 & 0 \\ 0 & -1 & 1 \\ 1 & 0 & 0 \end{pmatrix}$$

These matrices in general look like  $B = \begin{pmatrix} B_0 \\ c \end{pmatrix}$ ,  $B_0^T = -B_0$ . we call  $B_0$  the exchange matrix.  $B$  is the extended exchange matrix.

$B$  contains the same information as a quiver. To mutate  $B$ :

$$B' = \mu_k(B) \rightsquigarrow \begin{cases} \textcircled{1} \text{ multiply row, column } k \text{ by } (-1) \quad \leftarrow \text{Flip} \\ \textcircled{2} B'_{ij} = B_{ij} + \text{sgn}(B_{ik}) \min(B_{ik} B_{kj}, 0) \end{cases}$$

E.g:



↑ Add paths and save yourself

$$\begin{pmatrix} 0 & 2 & -2 \\ -2 & 0 & 2 \\ 2 & -2 & 0 \end{pmatrix} \xrightarrow{\mu_1} \begin{pmatrix} 0 & -2 & 2 \\ 2 & 0 & 2 + (-1)4 \\ -2 & (-2) & 0 \\ & + (1)(1) & \end{pmatrix}$$

In this formulation, mutation rule for cluster vars becomes:

$$X_k X_{k'} = \prod_{b_{ik} > 0} X_i^{b_{ik}} + \prod_{b'_{jk} < 0} X_j^{-b'_{jk}}$$

Remark: I said this is a "generalization". Indeed, everything works if  $B_0$  is skew symmetrizable instead of skew symmetric, but these } cluster algebras don't have quivers (they have valued quivers)  
 (But we won't deal w/ these)  
 There is  $D$  diagonal w/ positive entries s.t.  $DB_0$  is skew-symm.

Def: The Exchange Graph of a cluster algebra is the graph with vertices given by equivalence classes of seeds, edges given by mutations. 2 seeds  $(X, B)$  and  $(X', B')$  are equivalent if  $X'$  is a permutation of  $X$  and  $B'$  is obtained from  $B$  by permuting rows and cols with that same permutation.

Thm [Gekhtman, Shapiro, Vainshteyn]: Suppose  $B_0$  is non-degenerate. Then the exchange graph of  $\left(X, \begin{pmatrix} B_0 \\ c \end{pmatrix}\right)$  is fully determined by  $B_0$ .

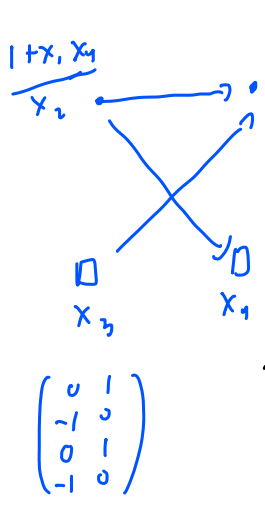
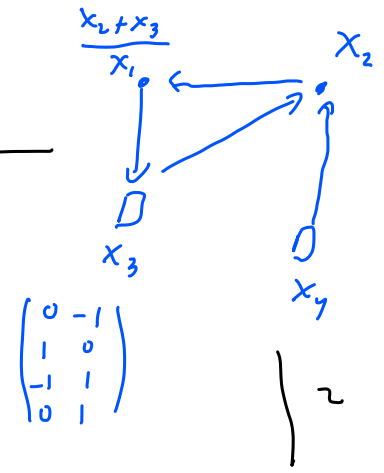
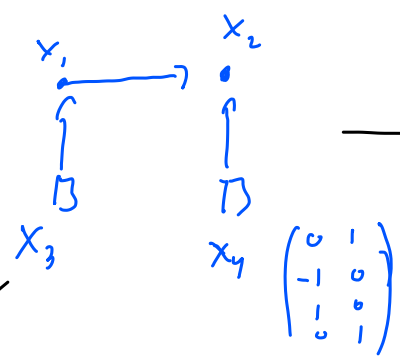
Conjectured for any  $B_0$  by Fomin-Zelevinsky.

They proved it earlier for finite type

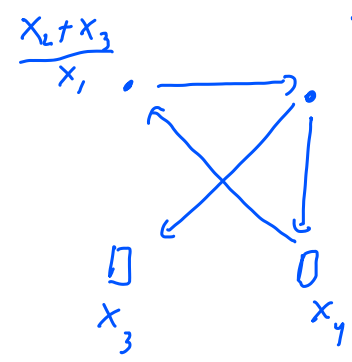
• cluster alg. from triangulation of 2-d surface.

E.g.:

mutate and permute (1 2)



$$\frac{x_2 + x_3 + x_1 x_3 x_4}{x_2} \cdot \frac{x_2 + x_3 + x_1 x_3 x_4}{x_2} = \frac{x_2 + x_3 + x_1 x_3 x_4}{x_1 x_2}$$



$$\frac{x_2 + x_3 + x_1 x_3 x_4}{x_1 x_2}$$

$$\frac{x_2 + x_3 + x_1 x_3 x_4 + x_1 x_2 x_4}{x_1 x_2 (x_2 + x_3)}$$

$$\frac{x_2 + x_3 + x_1 x_3 x_4}{x_1 x_2}$$



A brief idea about why this happens:

We can encode a seed  $(X, B = \begin{pmatrix} a \\ c \end{pmatrix})$  by  $(X, Y, B_0)$  where  $Y$  is a

length  $n$  vector of Laurent monomials  $Y_j = \prod_{i=1}^n x_i^{b_{ij}}$  that encodes the bottom half of the matrix,  $C$ .

These have a (tropical) mutation rule:

$$Y_j' = \begin{cases} Y_k^{-1} & j=k \\ Y_j(Y_k \oplus 1) & j \neq k, b_{kj} > 0 \\ Y_j(Y_k^{-1} \oplus 1) & j \neq k, b_{kj} < 0 \end{cases}$$

$$\prod_i x_i^{a_i} \oplus \prod_i x_i^{b_i} = \prod_i x_i^{\min(a_i, b_i)}$$

We can then compute the exchange graph for a given cluster algebra in terms of the  $Y_j$ , without specifying  $C$ , and see that the exchange graph is independent of the  $Y$ -variables.

# Principal Coeff.

We pick an extended quiver that "plays nice" with other cluster algebra data.

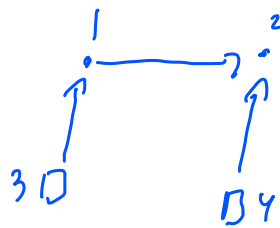
Consider  $(x_1, \dots, x_n, t)$  with  $B_0$   $n \times n$ . This defines a cluster algebra on initial seed  $(x_1, \dots, x_n, t)$  with skew-symmetrizable  $B_0$ .

with principal coefficients given by  $(x_1, \dots, x_n, \frac{B_0}{I})$

E.g:  $1 \rightarrow 2$

$$\begin{pmatrix} 0 & 1 \\ -1 & 0 \end{pmatrix}$$

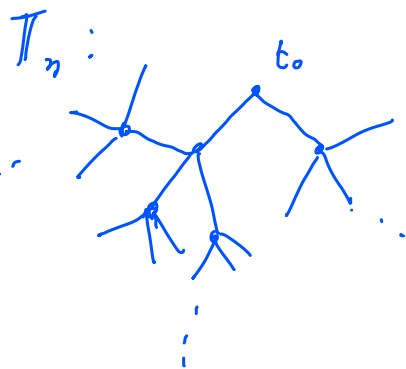
$\rightsquigarrow$



$$\begin{pmatrix} 0 & 1 \\ -1 & 0 \\ 1 & 0 \\ 0 & 1 \end{pmatrix}$$

We now establish some notation.

Let  $T_n$  be the  $n$ -ary tree with root labelled  $t_0$



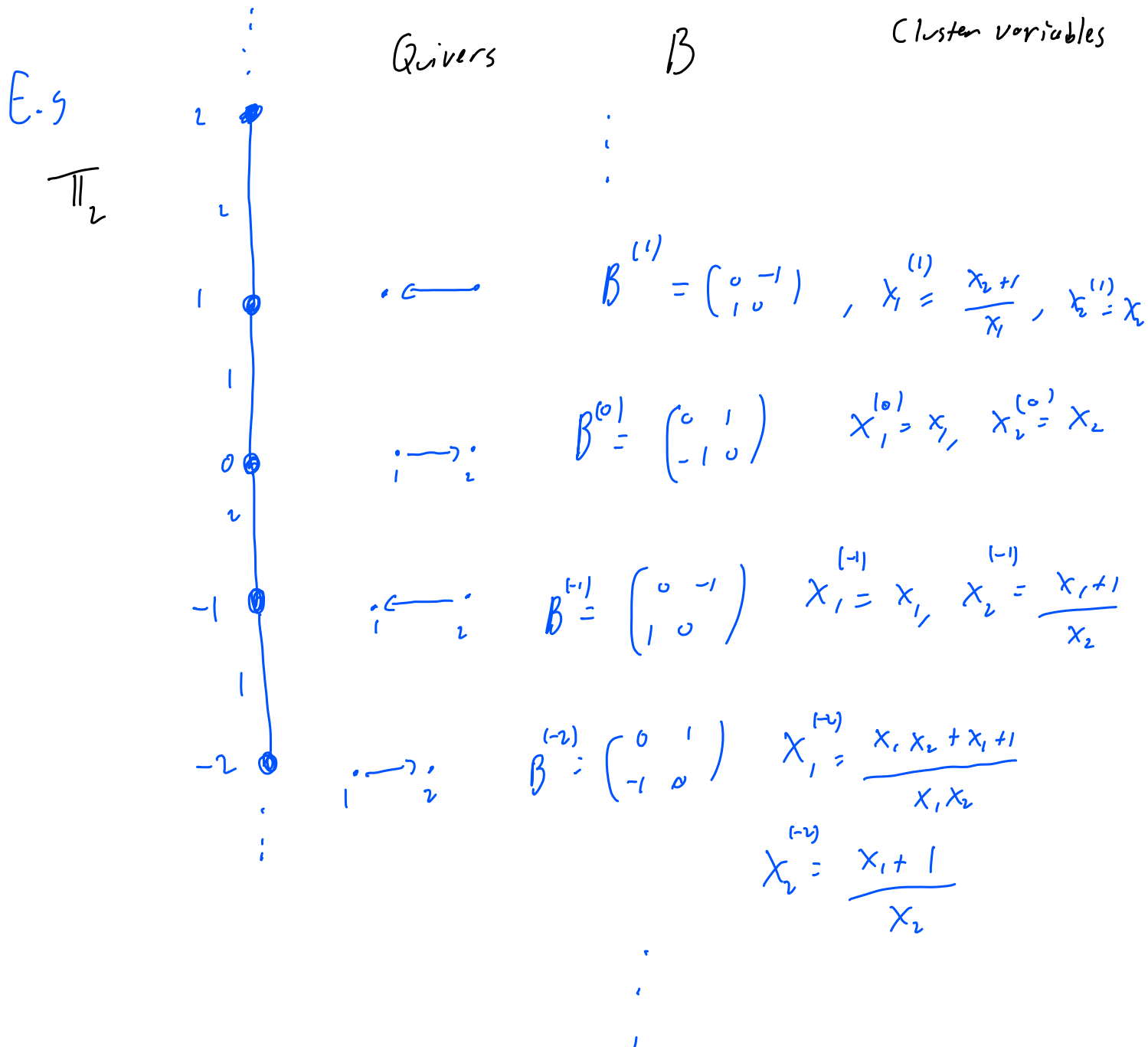
Label the edges s.t. each vertex is incident to exactly edges labelled  $\{1, 2, \dots, n\}$ .

We put a seed at each vertex. The initial seed goes of to and each edge represents a mutation:

$$((x_1, \dots, x_k, \dots, x_{n+m}), B) \xrightarrow{k} ((x_1, \dots, x_k', \dots, x_{n+m}), P_k(B))$$

The exchange graph is obtained from  $\Pi_n$  by identifying certain vertices.

We will denote the cluster variables at vertex  $t$  by  $X_i^{(t)}$  and the exchange matrix by  $B^{(t)} = \begin{pmatrix} B_0^{(t)} \\ C^{(t)} \end{pmatrix} = (b_{ij}^{(t)})_{\substack{1 \leq i \leq m+n \\ 1 \leq j \leq n}}$



Def: Given an initial seed  $(X^{(0)}, B_0^{(0)})$  construct the cluster algebra with principal coefficients, with initial seed  $\left( (X_1^{(0)}, \dots, X_n^{(0)}), \left( \frac{B_0^{(0)}}{I} \right) \right)$ . Then, the columns of  $C^{(t)}$  are the C-vectors of  $t$ . Denote them  $c_1^{(t)}, \dots, c_\ell^{(t)}$

E.g:

$(\begin{smallmatrix} 0 \\ 0 \end{smallmatrix})$   $\begin{pmatrix} 0 & 1 \\ -1 & 0 \\ 1 & 0 \\ 0 & 1 \end{pmatrix}$   $(\begin{smallmatrix} 0 \\ 1 \end{smallmatrix})$

$-\begin{pmatrix} 0 \\ 1 \end{pmatrix} - \begin{pmatrix} 0 \\ 1 \end{pmatrix} = \begin{pmatrix} -1 \\ 1 \end{pmatrix}$

$x_{i+1}$   $\begin{pmatrix} 0 & -1 \\ 1 & 0 \\ -1 & 1 \\ 0 & 1 \end{pmatrix}$   $(\begin{smallmatrix} 0 \\ 1 \end{smallmatrix})$

Legend:

- C-matrix
- g-vectors
- F-polynomial
- $B_0$

mutate and permute (12)

$(\begin{smallmatrix} 0 \\ -1 \end{smallmatrix})$   $\begin{pmatrix} 0 & 1 \\ -1 & 0 \\ 0 & 1 \\ -1 & 0 \end{pmatrix}$

$\frac{1+x_1(x_2+1)}{1+x_1+x_1x_2} = 1$

$x_{i+1}$   $(\begin{smallmatrix} -1 \\ 1 \end{smallmatrix})$

$x_1x_2+x_1$

$-\begin{pmatrix} 0 \\ 1 \end{pmatrix} - \begin{pmatrix} 0 \\ -1 \end{pmatrix} - \begin{pmatrix} 0 \\ 1 \end{pmatrix} = \begin{pmatrix} -1 \\ 0 \end{pmatrix}$

$x_2+x_1x_2+x_1$   $(\begin{smallmatrix} -1 \\ 0 \end{smallmatrix})$

$\frac{x_2+x_1x_2+x_1}{x_1+1} = x_2+1$

Observation: C-vectors are strictly non-pos or non-neg.  $(\begin{smallmatrix} 1 \\ 1 \end{smallmatrix}) - (\begin{smallmatrix} 0 \\ 1 \end{smallmatrix}) = (\begin{smallmatrix} 1 \\ 0 \end{smallmatrix})$

Thm: C-vectors are sign-coherent; all entries are non-pos or all entries are nonneg.

[GHK14]

Conjectured by Fomin-Zelevinsky

Rmk: One way to show this is by additive categorification:

Up to sign,  $C$  vectors are dim<sup>n</sup> vectors of bricks.

$C$ -vectors have a nice mutation rule: If we mutate at  $k$

$t \xrightarrow{k} t'$ :

$$C_l^{(t')} = \begin{cases} -C_k^{(t)} & \text{if } l=k \\ C_l^{(t)} + \max(b_{kl}^{(t)}, 0) C_k^{(t)} & \text{if } C_k^{(t)} \geq 0 \\ C_l^{(t)} + \max(-b_{kl}^{(t)}, 0) C_k^{(t)} & \text{if } C_k^{(t)} \leq 0 \end{cases}$$

These are closely related to  $g$ -vectors. These also have a nice description from principal coefficients and a mutation rule, which I will come back to if time permits, but they are columns of  $G^{(t)}$  where

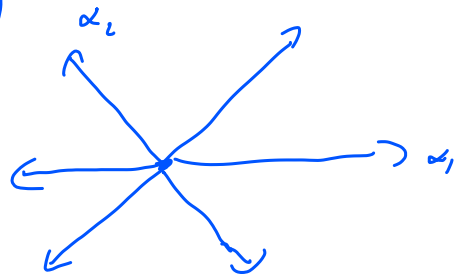
$$G^{(t)} D C^{(t)} = D, \quad D \text{ the positive diagonal matrix s.t. } (DB_0)^T = -DB_0.$$

Rmk:  $C$ -vectors relate to root vectors:

For our cluster algebra on the  $A_2$ -quiver, we have 6  $C$ -vectors:

$$\begin{pmatrix} 1 \\ 0 \end{pmatrix}, \begin{pmatrix} 0 \\ 1 \end{pmatrix}, \begin{pmatrix} -1 \\ 0 \end{pmatrix}, \begin{pmatrix} 1 \\ 1 \end{pmatrix}, \begin{pmatrix} -1 \\ -1 \end{pmatrix}, \begin{pmatrix} -1 \\ 0 \end{pmatrix}$$

Consider the  $A_2$  root system w/ simple roots  $\alpha_1, \alpha_2$ .





Have a bijection

$$\{c\text{-vectors}\} \longleftrightarrow \{\text{roots}\}$$
$$\begin{pmatrix} a \\ b \end{pmatrix} \longmapsto a\alpha_1 + b\alpha_2.$$

This holds for any cluster-finite cluster algebra

{  
For each of these, can take for the initial quiver an arbitrary orientation of a Dynkin diagram in the Cartan-Killing classification of complex simple Lie algebras.

F-polynomial

A priori, If  $A$  is the cluster algebra with initial seed  $((x_1, \dots, x_n, x_{n+1}, \dots, x_{n+m}), B)$ ,

then  $A \subseteq \mathbb{C}(x_1, \dots, x_{n+m})$

2 weeks ago, we saw the Laurent phenomenon

$$\Rightarrow A \subseteq \mathbb{C}[x_1^{\pm 1}, \dots, x_{n+m}^{\pm 1}]$$

Even stronger (called the Sharpened Laurent Phenomenon),

Thm [FZ]  $A \subseteq \mathbb{C}[x_1^{\pm 1}, \dots, x_n^{\pm 1}, x_{n+1}, \dots, x_{n+m}]$

E.g.: check this in  $A_2$  case, worked out above.

Def: Consider a cluster alg. wr principal coeff.

Let  $X_i^{(t)}$  be the Laurent polynomial in the initial seed variables giving the  $i$ th coordinate in seed  $t$  of the cluster algebra.

Define  $F_i^{(t)} = X_i^{(t)} (1, 1, \dots, 1, X_{n+1}, \dots, X_{2n})$ ,  $i \in [n]$ .

This is a polynomial by the sharpened Laurent Phenomenon. Call these F-polynomials.

Thm [FZ]: F-polynomials and g-vectors (dually, c-vectors)

determine all cluster variables from the initial seed

$$X_\ell^{(t)} = \frac{F_\ell^{(t)}(Y_1, \dots, Y_n)}{F_{\ell, \text{trop}}^{(t)}(\hat{Y}_1, \dots, \hat{Y}_n)} X_1^{g_{\ell,1}^{(t)}} \dots X_n^{g_{\ell,n}^{(t)}}$$

where  $g_\ell^{(t)}$  is the g-vector for  $X_\ell^{(t)}$ ,

$$Y_j = \prod_{i=1}^{n+m} X_i^{b_{ij}}, \quad \hat{Y}_j = Y_j \cdot \prod_{i=1}^{(0)} X_i^{b_{ij}} \quad \text{and}$$

$F_{\ell, \text{trop}}^{(t)}$  is  $F_\ell^{(t)}$  with each  $+$  replaced by  $\oplus$ .

Thm The F-polynomials mutate as follows:

together with the recurrence relations

$$(5.2) \quad F_{\ell;t'} = F_{\ell;t} \quad \text{for } \ell \neq k;$$

$$(5.3) \quad F_{k;t'} = \frac{\prod_{j=1}^n y_j^{[b_{n+j,k}^t]^+} \prod_{i=1}^n F_{i;t}^{[b_{ik}^t]^+} + \prod_{j=1}^n y_j^{[-b_{n+j,k}^t]^+} \prod_{i=1}^n F_{i;t}^{[-b_{ik}^t]^+}}{F_{k;t}}$$

where  $[a]_+ = \max(a, 0)$ , and  $y_j \equiv X_{j+n}$ .

E.g.:  
See above, in green.

Extra:  $g$ -vectors

In a cluster algebra with principal coefficients, all cluster variables are homogeneous w.r.t the  $\mathbb{Z}^n$  grading

$$X_i \mapsto e_i$$

$$X_{n+i} \mapsto -B_0^{(0)} e_i$$

They mutate according to

together with the recurrence relations

$$(6.11) \quad \mathbf{g}_{\ell, \ell'} = \mathbf{g}_{\ell, t} \quad \text{for } \ell \neq k;$$

$$(6.12) \quad \mathbf{g}_{k, \ell'} = -\mathbf{g}_{k, t} + \sum_{i=1}^n [b_{ik}^t]_+ \mathbf{g}_{i, t} - \sum_{j=1}^n [b_{n+j, k}^t]_+ \mathbf{b}_j^0,$$

where  $\mathbf{b}_j^0$  is the  $j^{\text{th}}$  col. of  $B_0^{(0)}$

At first glance, it is highly nontrivial that these should be at all related to the  $c$ -vectors, which are the columns of the bottom half of the exchange matrix.