

*Notation.* For a set  $A$ , denote by  $A^{<\mathbb{N}}$  the set of all *finite sequences of elements of  $A$* , i.e.

$$A^{<\mathbb{N}} = \bigcup_{n \in \mathbb{N}} A^n,$$

where  $A^0 := \{\emptyset\}$  thinking of the empty set as the empty sequence. Furthermore, denote by  $A^{\mathbb{N}}$  the set of all *infinite sequences of elements of  $A$* , by which we simply mean functions  $\mathbb{N} \rightarrow A$ .

1. Prove that, for any set  $A$ , the following three definitions of *countable* are equivalent:

- (1)  $\exists$  a surjection  $\omega \twoheadrightarrow A$ .
- (2)  $A \sqsubseteq \omega$ .
- (3)  $A$  is finite or  $A \equiv \omega$ .

You may not use Axiom of Choice in your proofs, so be careful when proving (1) $\Rightarrow$ (2).

HINT: For (2) $\Rightarrow$ (3), we may assume that  $A \subseteq \omega$  and your task is to define a new injection  $f : A \hookrightarrow \omega$  such that  $f(A)$  is an initial segment of  $\omega$ . Because  $A \subseteq \mathbb{N}$ , you can define  $f$  by recursion.

IMPORTANT REMARK: One should think of (1) as the statement that  $A$  can be enumerated, possibly with repetitions, i.e. there is an infinite sequence  $(a_n)_{n \in \mathbb{N}}$  of elements of  $A$  such that  $A = \{a_n : n \in \mathbb{N}\}$ . This is used in proofs to **avoid considering the finite and infinite cases separately** because, in either case, one would be dealing with an infinite sequence.

2. Prove the following statements.

- (a) If sets  $A, B$  are countable, then  $A \times B$  is also countable.
- (b) Countable union of countable sets is countable. More precisely, for a sequence of countable sets  $(A_n)_{n \in \mathbb{N}}$ , the set  $\bigcup_{n \in \mathbb{N}} A_n$  is countable.

3. Prove that the following sets are countable. You may use the Schröder–Bernstein theorem, as well as Problem 2.

- (a)  $\mathbb{Q}$
- (b) The set  $A^{<\mathbb{N}}$  for any countable  $A$
- (c) The set  $P(\mathbb{Q})$  of polynomials with rational coefficients
- (d) (Optional) The set of all algebraic numbers<sup>1</sup>

4. Prove that the following sets are equinumerous with  $\mathbb{R}$ . You may use the Schröder–Bernstein theorem.

- (a)  $(0, 1)$
- (b)  $[0, 1]$

HINT:  $[0, 1] \subseteq (-1, 2)$ .

- (c)  $\mathbb{R} \cup A$  for any countable set  $A$

<sup>1</sup>A real  $r \in \mathbb{R}$  is called *algebraic* if it is a root of a polynomial with rational coefficients.

(d) The set  $2^{\mathbb{N}}$  of all infinite sequences of 0-s and 1-s.

(e)  $\mathbb{R}^2$

HINT: Intertwine the decimal expansions.

(f) (Optional) The set  $\mathbb{R}^{\mathbb{N}}$  of all infinite sequences of reals

HINT: Intertwine the decimal expansions diagonally, just like in the proof of  $\mathbb{N}^2 \equiv \mathbb{N}$ .

**5.** (a) Prove  $(0, 1] \equiv (0, 1)$  without using the Schröder–Bernstein theorem.

HINT: Isolate a Hilbert hotel inside of  $(0, 1]$  and push 1 into it.

(b) (Optional) More generally, for any set  $A$ , if  $\omega \sqsubseteq A$ , then  $A \cup \{x\} \equiv A$  for any element  $x \notin A$ .

**6.** Prove the Claim in the proof of the Schröder–Bernstein theorem (Theorem 6.5 in the notes).